

A Rewriting Characterization of Higher-Order Feasibility via Tuple Interpretations

Ongoing joint work with Patrick Baillot, Ugo dal Lago,
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Summary

Higher-order Feasibility

HO Rewriting and Tuple Interpretations

Runtime Complexity

BFFs Characterization



Outline

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Constable (1973) posed the problem of finding a **natural analogue** of polynomial time (P) for functionals of type:

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- most tasks **outside of** P seems quite infeasible
- almost all **reasonable** models of deterministic computation are **polynomially** related
- both P and PF have good closure properties



Basic Feasible Functionals (BFFs)

Good candidate? Let's bring... BFFs



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they are...

- second order functionals (Type-2)
- can be captured by type-2 limited recursion on notation
- can be computed in terms of OTM in polynomial time



Basic Feasible Functionals (BFFs)

The BFF **recursive scheme**.

F is defined from G, H , and K by limited recursion on notation (**LRN**) if for all \vec{f}, \vec{x} , and y ,

$$F(\vec{f}, \vec{x}, 0) = G(\vec{f}, \vec{x})$$

$$F(\vec{f}, \vec{x}, y) = H(\vec{f}, \vec{x}, y, F(\vec{f}, \vec{x}, \lfloor x/2 \rfloor)), y > 0,$$

$$|F(\vec{f}, \vec{x}, y)| \leq |K(\vec{f}, \vec{x}, y)|.$$

Definition

The class **BFF** is the **smallest** class of functionals containing **FPTIME** and the **application functional** ($\lambda Fx.F(x)$), and closed under: **composition**, **expansion**, and **LRN**.



Goal

Our goal is to **characterize BFFs** via **higher-order rewriting** and **tuple interpretations**.



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Basic Idea: A form of typed lambda-calculus with function symbols and rules.

- abstraction and application



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- function symbols with arity:

$$\begin{array}{l} \text{nil} \quad :: \quad \text{list} \quad \text{cons} \quad :: \quad \text{nat} \times \text{list} \Longrightarrow \text{natlist} \\ \text{map} \quad :: \quad (\text{nat} \Longrightarrow \text{nat}) \times \text{list} \Longrightarrow \text{list} \end{array}$$


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- variables of higher-order type.



Strongly monotonic functionals in a nutshell

General idea:

- for every **base type** ι : let $\llbracket \iota \rrbracket = \mathbb{N}^{p[\iota]}$ for some $p[\iota]$;
- say $\langle n_1, \dots, n_p \rangle > \langle m_1, \dots, m_p \rangle$ if $n_1 > m_1$ and each $n_i \geq m_i$;

- for each symbol $f : [\sigma_1 \times \dots \times \sigma_k] \Rightarrow \tau$: map f to a **monotonic** function in $\llbracket \sigma_1 \rrbracket \times \dots \times \llbracket \sigma_k \rrbracket \Rightarrow \llbracket \tau \rrbracket$;
- prove that $\llbracket \ell \rrbracket > \llbracket r \rrbracket$ for all rules $\ell \rightarrow r$.



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- say $f > g$ if $f(x) > g(x)$ for all x
- for each symbol $f : [\sigma_1 \times \dots \times \sigma_k] \Rightarrow \tau$: map f to a **monotonic** function in $\llbracket \sigma_1 \rrbracket \times \dots \times \llbracket \sigma_k \rrbracket \Rightarrow \llbracket \tau \rrbracket$;
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Higher-order tuple interpretations: an example

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nil    :: list
cons   :: [nat × list] ⇒ list
map    :: [(nat ⇒ nat) × list] ⇒ list

map(F, nil)    → nil
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Roughly: $\llbracket \text{map} \rrbracket(F, \langle \text{cost}, \text{len}, \text{max} \rangle)_{\text{cost}} \approx \text{len} * F(\langle \text{cost}, \text{max} \rangle)_{\text{cost}}$



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Recall: runtime complexity

Runtime complexity:

$n \mapsto$ “maximum derivation height for a **basic** term of size n ”

Basic term: **function**(**data**, ..., **data**)

Example: **mul**(**s**(**s**(**s**(**s**(**s**(**0**))))), **s**(**s**(**s**(**s**(**s**(**s**(**s**(**0**))))))



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Problem: does this make sense for higher-order rewriting?



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Choice: data must be a **first-order constructor** term.



Higher-order runtime complexity examples

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Terms of interest: `map(λy .add(s, y), t)`



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Runtime complexity: $n \mapsto \mathcal{O}(n^2)$ (length of t * size of s)



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- a more expressive complexity notion?



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How to characterize BFFs by Rewriting?

In order to **capture** BFFs we need to:

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 - the **cost** int. of each oracle call is 1 and the **size** is polynomially bounded



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 - a functional is in BFF iff it can be computed by a BLP_2 program
 - we then show that all BLP_2 programs can be computed by second order TRSs with polynomial interpretations



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Thank you!



BFFs extra definitions

Definition

Given a functional F we say that

- F is defined from H, G_1, \dots, G_l by functional composition if for all \vec{f} and \vec{x} ,

$$F(\vec{f}, \vec{x}) = H(\vec{f}, G_1(\vec{f}, \vec{x}), \dots, G_l(\vec{f}, \vec{x})).$$

- F is defined from G by expansion if for all $\vec{f}, \vec{g}, \vec{x}$, and \vec{y} ,

$$F(\vec{f}, \vec{g}, \vec{x}, \vec{y}) = G(\vec{f}, \vec{x}).$$



More than matrix and polynomial interpretations!

`minus(x, 0)` → `x`
`minus(s(x), s(y))` → `minus(x, y)`
`quot(0, s(y))` → `0`
`quot(s(x), s(y))` → `s(quot(minus(x, y), s(y)))`



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- Cannot be done with matrix interpretations due to duplication of y .
- Can be done with tuple interpretations!

$$\begin{aligned}\llbracket 0 \rrbracket &= \langle 0, 0 \rangle \\ \llbracket s(x) \rrbracket &= \langle x_{\text{cost}}, x_{\text{size}} + 1 \rangle \\ \llbracket \text{minus}(x, y) \rrbracket &= \langle x_{\text{cost}} + y_{\text{cost}} + y_{\text{size}} + 1, x_{\text{size}} \rangle \\ \llbracket \text{quot}(x, y) \rrbracket &= \langle x_{\text{cost}} + y_{\text{cost}} + x_{\text{size}} + x_{\text{size}} * (y_{\text{size}} + y_{\text{cost}}) + 1, \\ &\quad x_{\text{size}} \rangle\end{aligned}$$



Some other examples

```
filter(F, nil) → nil
filter(F, cons(x, a)) → consif(F · x, x, filter(F, a))
  consif(true, x, a) → cons(x, a)
  consif(false, x, a) → a
```

Cost: $1 + (a_{\text{len}} + 1) * (2 + a_{\text{cost}} + F(\langle a_{\text{cost}}, a_{\text{max}} \rangle)_{\text{cost}})$



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consif(false, x, a) → a
```

Cost: $1 + (a_{\text{len}} + 1) * (2 + a_{\text{cost}} + F(\langle a_{\text{cost}}, a_{\text{max}} \rangle)_{\text{cost}})$

Roughly:

$\llbracket \text{filter} \rrbracket(F, \langle \text{cost}, \text{len}, \text{max} \rangle)_{\text{cost}} \approx \text{len} * F(\langle \text{cost}, \text{max} \rangle)_{\text{cost}} + \text{len} * \text{cost}$



Some other examples

```
filter(F, nil) → nil
filter(F, cons(x, a)) → consif(F · x, x, filter(F, a))
consif(true, x, a) → cons(x, a)
consif(false, x, a) → a
```

Cost: $1 + (a_{\text{len}} + 1) * (2 + a_{\text{cost}} + F(\langle a_{\text{cost}}, a_{\text{max}} \rangle)_{\text{cost}})$

Roughly:

$\llbracket \text{filter} \rrbracket(F, \langle \text{cost}, \text{len}, \text{max} \rangle)_{\text{cost}} \approx \underbrace{\text{len} * F(\langle \text{cost}, \text{max} \rangle)_{\text{cost}}}_{\text{map-like component!}} + \text{len} * \text{cost}$



Some other examples

$$\begin{aligned}\text{rec}(0, y, F) &\rightarrow y \\ \text{rec}(s(x), y, F) &\rightarrow F \cdot x \cdot \text{rec}(x, y, F)\end{aligned}$$

Cost: $\text{Helper}[x, F]^{\text{xlen}+1}(\langle 1 + y_{\text{cost}}, y_{\text{size}} \rangle)$ where
 $\text{Helper}[x, F] = z \mapsto \langle F(x, z)_{\text{cost}}, \max(z_{\text{size}}, F(x, z)_{\text{size}}) \rangle$



Some other examples

$$\begin{aligned}\text{rec}(0, y, F) &\rightarrow y \\ \text{rec}(s(x), y, F) &\rightarrow F \cdot x \cdot \text{rec}(x, y, F)\end{aligned}$$

Cost: $\text{Helper}[x, F]^{\text{len}+1}(\langle 1 + y_{\text{cost}}, y_{\text{size}} \rangle)$ where
 $\text{Helper}[x, F] = z \mapsto \langle F(x, z)_{\text{cost}}, \max(z_{\text{size}}, F(x, z)_{\text{size}}) \rangle$

Roughly: $\llbracket \text{rec} \rrbracket(\langle \text{cost}, \text{size} \rangle, y, F) \approx$
 $(z \mapsto F(\langle \text{cost}, \text{size} \rangle, z))^{\text{size}(x)}.$



Some other examples

$$\begin{aligned}\text{rec}(0, y, F) &\rightarrow y \\ \text{rec}(s(x), y, F) &\rightarrow F \cdot x \cdot \text{rec}(x, y, F)\end{aligned}$$

Cost: $\text{Helper}[x, F]^{\text{len}+1}(\langle 1 + y_{\text{cost}}, y_{\text{size}} \rangle)$ where
 $\text{Helper}[x, F] = z \mapsto \langle F(x, z)_{\text{cost}}, \max(z_{\text{size}}, F(x, z)_{\text{size}}) \rangle$

Roughly: $\llbracket \text{rec} \rrbracket(\langle \text{cost}, \text{size} \rangle, y, F) \approx$
 $(z \mapsto F(\langle \text{cost}, \text{size} \rangle, z))^{\text{size}(x)}.$

Compare: $\llbracket \text{fold} \rrbracket(F, x, \langle \text{cost}, \text{len}, \text{max} \rangle) \approx$
 $(z \mapsto F(z, \langle \text{cost}, \text{max} \rangle))^{\text{len}(x)}.$



Some other examples

$\text{der}(\lambda x.y) \rightarrow \lambda z.0$
 $\text{der}(\lambda x.x) \rightarrow \lambda z.\text{one}$
 $\text{der}(\lambda x.\sin(x)) \rightarrow \lambda z.\cos(z)$
 $\text{der}(\lambda x.\cos(x)) \rightarrow \lambda z.\text{minus}(\sin(z))$
 $\text{der}(\lambda x.\text{plus}(F \cdot x, G \cdot x)) \rightarrow \lambda z.\text{plus}(\text{der}(F) \cdot z, \text{der}(G) \cdot z)$
 $\text{der}(\lambda x.\text{times}(F \cdot x, G \cdot x)) \rightarrow \lambda z.\text{plus}(\text{times}(\text{der}(F) \cdot z, G \cdot z),$
 $\quad \text{times}(F \cdot z, \text{der}(G) \cdot z))$
 $\text{der}(\lambda x.\ln(F \cdot x)) \rightarrow \lambda z.\text{div}(\text{der}(F) \cdot z, F \cdot z)$

Cost of $\text{der}(F, z)$: $1 + F(z)_{\text{cost}} + 2 * F(z)_{\text{size}} + F(z)_{\text{ndif}} * F(z)_{\text{cost}}$
 $\approx F(z)_{\text{ndif}} * F(z)_{\text{cost}}$



Thank you!

